

中國證券有限公司

China Securities Limited

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(證監會中央編號 SFC CE No: BMI548)



SETTLEMENT INSTRUCTION 證券交收指示

Account Name 賬戶名稱 : _____

Account No. 賬戶號碼 : _____

1. Type of Settlement Instruction 交收指示類別 :

Receive securities 證券存入 Deliver securities 證券交付

2. Counterparty information 交易對手資料

Name of CCASS Participant 中央結算系統參與者姓名 : _____

ID No. of CCASS Participant/Investor No. 中央結算系統參與者編號或投資者號碼 : _____

Name of Contact Person 聯絡人姓名 : _____

Contact Number 聯絡人電話號碼 : _____

Settlement Date 交收日期 : _____

3. Settlement Information 交收資料

Stock Code 股票代號	Stock Name 股票名稱	Quantity 股數	DVP, RVP or FOP*	Amount(HKD) 交付款項(港幣)	Charges(HKD) 費用(港幣)
			<input type="checkbox"/> DVP <input type="checkbox"/> RVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> RVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> RVP <input type="checkbox"/> FOP		
			<input type="checkbox"/> DVP <input type="checkbox"/> RVP <input type="checkbox"/> FOP		

* DVP=Delivery Versus Payment 貨銀對付 ; RVP=Real Time Delivery Versus Payment 實時貨銀對付 ; FOP=Free of Payment 無需付款

This SI / ISI involves no change in beneficial ownership. 以上交收指示並不牽涉實益擁有人之變更。

There is a change in beneficial ownership of the above-mentioned stocks through this SI/ISI. I/We hereby provide the original copy of Stamped Bought and Sold notes as supporting. 以上交收指示牽涉實益擁有人之變更。現附上已繳付政府印花稅之買賣單據作為依據。

Name of Third Party 第三者名稱 : _____

Third Party Account No. 第三者賬戶號碼 : _____

The relationship between the Transferor and Transferee 轉讓人與承讓人之關係 : _____

The reason for the Third Party Stock Settlement Instruction 第三者交收指示之原因 : _____

Signature 簽署 : _____

Date 日期 : _____

For assistance, please contact our settlement service hotline at (852) 2505 1198. 如需協助, 請致電交收部熱線 (852) 2505 1198。

For Office Use Only	Signature verified by:	Approved by:

Notes 註:

- To ensure SIs are successfully completed on specific settlement date, please provide instructions by 4pm of the previous business day. 為確保交收指示在指定的交收日期完成, 請在上一個工作日下午4時前提供指示。
- All account holders must sign on the form. 所有賬戶持有人必須簽署。
- This Securities Settlement Instruction Form is prepared in both English and Chinese versions. In the event of any discrepancy between the 2 versions, the English version shall prevail. 本證券交收表格分中英文版本, 如兩者釋義出現歧異, 一切以英文版本為準。