

# 中國證券有限公司

China Securities Limited

香港金鐘道 89 號力寶中心一期 42 樓 4206 室

Suite 4206, 42/F, Lippo Centre Tower 1, 89 Queensway, Admiralty, Hong Kong

Telephone: 2505 2255 Fax: 2505 5770

(證監會中央編號 SFC CE No: BMI548)



## Company Information 公司資料

CCASS Number 中央結算編號	B02133
Broker Number 經紀代號	2207

## Trading Charges Relating to Securities Traded on the HKEX 港股交易收費

Brokerage 佣金	Negotiable 可議 (Minimum 最低收費: HK\$100)
Stamp Duty 印花稅	0.1% (Rounded up to the nearest dollar) (不足 HK\$1 亦作 HK\$1 計算)
Transaction Levy 交易徵費	0.0027% *
HKEX Trading Fee 香港交易及結算所交易費	0.00565% *
Clearing Fee 中央結算股份交收費	0.006% *(Minimum 最低收費: HK\$4)
Financial Reporting Council Transaction Levy 財匯局交易徵費	0.00015% *

## Nominees Services 代理人服務

Stock Custody Fee 託管費	Each Month HK\$0.03 per lot (except for such fee below HK\$100 per stock) 每月每手 HK\$0.03 (每隻股票收費低於 HK\$100 除外)
Scrip Fee 股票過戶費	Per Lot 每手: HK\$2.50
Dividend/Cash Bonus/Cash Dividend Election in Shares 股息/現金紅利/以股代息	0.7% of the total dividend amount (Minimum HK\$20) 股息總值的 0.7% (最低收費: HK\$20)
Rights Issue/Stock Bonus/Stock Dividend 供股/紅股/股票股息	Handling Fee 手續費: HK\$20
Rights Subscription/Warrants Exercise/CBBC Mandatory Call 認購行使供股/認股權證行使/牛熊證行使	HK\$1.20 per lot + Handling Fee HK\$100 每手 HK\$1.20 另加手續費 HK\$100
Excess Rights Application 額外供股認購	Handling Fee 手續費: HK\$100
Cash Offer/Open Offer/Privatisation 現金收購/公開收購/私有化	HK\$1.20 per lot + Handling Fee HK\$100 每手 HK\$1.20 另加手續費 HK\$100
Share Registration Change 股份轉名	HK\$2.50 per lot + Handling Fee HK\$100 每手 HK\$2.50 另加手續費 HK\$100
Entitlement Claim Handling of Dividend/Bonus Shares 代追股息/紅股	0.5% on gross dividend (Minimum HK\$500, Maximum HK\$20,000 + CCASS FEE) 總股息的 0.5% (最低 HK\$500, 最多 HK\$20,000 另加中央結算收費)
Electronic Application Instruction 電子申請指示	Per Instruction 每次: HK\$50
Other Corporate Actions 其他企業活動	HK\$1.20 per lot + HK\$100 Handling fee 每手 HK\$1.20 另加手續費 HK\$100
Proxy & Voting Request 代履行投票服務	HK\$100 per stock per request 每宗股份投票申請 HK\$100

## Stock Transfer 股票提存

SI Deposit 股票存入	Free 免費
SI Withdrawal 中央結算股票提取	0.02% of the total amount (Minimum HK\$200) 總值的 0.02% (最低收費: HK\$200)
ISI Deposit/Withdrawal 中央結算投資者戶口股票提存	Deposit: Free / Withdrawal 0.01% of the total amount (Minimum HK\$200) 存入: 免費 / 提取總值的 0.01% (最低收費: HK\$200)
Physical Scrip Deposit 實貨存入	Free 免費
Physical Scrip Withdrawal 實貨提取	Per lot 每手: HK\$5 (Minimum 最低收費: HK\$50)
Transfer Deed Stamp Duty 轉手紙釐印費	HK\$5 per deed 每張轉手紙 HK\$5

## Money Transfer 款項提存

Money Deposit 存入款項	Only Bank Charges 按銀行實質收費
Remittance 匯款指示	CHATS HK\$300 / Overseas TT HK\$600 本地匯款 HK\$300 / 海外匯款 HK\$600
Return Cheque 退票	HK\$350 per case 每次 HK\$350
Stop Payment 終止支票付款	HK\$350 per case 每次 HK\$350

## Interest 利息

Credit Balance 現金餘額	Nil 無
Cash Account Overdue 現金證券戶口借款	Prime rate 最優惠利率 + 6%
Margin Debit Balance 保證金借款	Prime rate 最優惠利率 + 3%
Over Margin Limit interest charge 超過限額借款	Prime rate 最優惠利率 + 6%

## Account Maintenance 賬戶管理

Electronic Statement (Default) 電子結單(自動選項)	Free 免費
Physical Daily/Monthly Statement 列印日/月結單	Per statement 每份 HK\$20
Electronic Statement Resend 重發電子結單(3個月以前)	Per statement 每份 HK\$50 (Over 3 months 超過 3 個月)
HK Real-time Quotes 香港實時報價	Negotiable 可議
Account Confirmation 代辦賬戶確認書	Per copy 每份: HK\$200
Inactive Account Charge (With No Stock Holding & No Trade) <sup>Note 1</sup> 不動戶口收費 (並無持有股票及無交易) <sup>備註 1</sup>	Quarterly (1 Jan - 31 Mar; 1 Apr - 30 Jun; 1 Jul - 30 Sep; 1 Oct - 31 Dec): HK\$100 季度 (1/1 至 31/3; 1/4 至 30/6; 1/7 至 30/9; 1/10 至 31/12): HK\$100

\* (Rounded up to the nearest cent) (不足 HK\$0.01 亦作 HK\$0.01 計算)

Note 1: Inactive Account Balance will not be deducted to a negative amount 備註 1: 不動戶口餘額不會被扣至負數

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## Trading Charges relating to China Connect Securities 滬股通及深股通交易收費

Brokerage 佣金	0.3% (Minimum 最低收費: RMB\$150)
Handling Fee 手續費	0.00487%
Securities Management Fee 證券管理費	0.002%
Transfer Fee 過戶費	0.003% (0.001% ChinaClear + 0.002% CCASS)(0.001%中國結算+0.002%中央結算)
Stamp Duty 印花稅	0.1% (for SELL trade only 僅用於賣出之交易)

The above fees table is only for reference. There are certain charges for specific services that are not included here, please contact our office for further information. All fee applied are subject to change at the sole discretion of China Securities Limited. The fee table is effective from 17 November 2023. 以上收費僅供參考。其他未載於本表格之服務收費，請向本公司查詢。本公司可隨時修改而不另行通知。此收費表自二零二三年十一月十七日起生效。